

## Problem 3

There are  $n \geq 1$  asynchronous processes, each with a private memory of  $p$  bits. The processes can communicate only through reading and writing a shared memory of  $s$  bits. Computation proceeds in a sequence of atomic steps: in each step, first a scheduler chooses one of the processes; then the chosen process reads and modifies his private state and the shared state. A *fair* scheduler does not neglect any process forever; a *bounded fair* scheduler does not neglect any process for more than  $b$  steps, for some integer bound  $b \geq n$ .

You need to design a protocol that allows the processes to elect a leader. In addition to the private memory of  $p$  bits, each process  $i$  has a read-only variable  $F_i$  and a write-only variable  $V_i$ . The variable  $F_i \in \{1, \dots, n\}$  represents the initial favorite of process  $i$  for leader. The variable  $V_i \in \{1, \dots, n\}$  represents the final vote of process  $i$  for leader. In addition to the shared memory of  $s$  bits, there is a shared write-only bit  $T$ , which is initially 0. Setting  $T$  to 1 signals the completion of the election process. The requirements on the protocol are as follows:

1. Leader election must be unanimous, that is, when  $T = 1$ , then  $V_i = V_j$  for all  $1 \leq i, j \leq n$ .
2. One of the initial favorites must be elected leader, that is, when  $T = 1$ , then  $|\{j \mid F_j = V_1\}| \geq |\{j \mid F_j = i\}|$  for all  $1 \leq i \leq n$ .
3. Leader election must terminate, that is, if the scheduler is fair, then  $T = 1$  within a finite number of steps.

Each process can initialize his private memory (with the exception of the  $F_i$ 's), but the initial state of the shared memory (with the exception of  $T$ ) is unknown. Each process knows the number  $n$  of processes, but is oblivious to the choices made by the scheduler.

Questions:

1. What is the smallest number  $s$  of shared bits necessary to solve the problem?
2. Given a solution, for a scheduler with bound  $b$ , let  $t_b$  be the maximal number of steps till termination ( $T = 1$ ), and let  $s \cdot t_b$  be the *cost* of the solution. What is the least cost necessary to solve the problem for bounded schedulers with unknown bound  $b$ ?