Processes & threads

- A process is a unit of execution managed at the level of the operating system.
- Each process has its own address space, i.e., no other process can access it.
- A thread is a sequential flow of control executing within a process.
- All threads within a process share the same address space, i.e., they share memory.
Concurrent Programming © Benoît Garbinato

Concurrency & parallelism

concurrent applications
concurrent operating system
parallel computer

operating system
hardware (CPUs)

Concurrent Programming © Benoît Garbinato
Pseudo vs. Quasi Parallelism

- With pseudo-parallelism, a thread can be interrupted by the system at any time (we say that the system is preemptive).

- With quasi-parallelism, a thread can only be interrupted voluntarily, either explicitly or when it performs an input/output system call.

Liveness & safety

**Safety**: nothing bad ever happens

In object-oriented terms, this means that the state of no object will ever be corrupted.

**Liveness**: something good eventually happens

In object-oriented terms, this means that the state of some object will eventually change.
Creating threads in java

Extending Thread

```java
public class PrimeThread extends Thread {
    long minPrime;

    public PrimeThread(long minPrime) {
        this.minPrime = minPrime;
    }

    public void run() {
        // Compute prime larger than minPrime
    }

    public static void main(String[] args) {
        PrimeThread pt = new PrimeThread(7);
        pt.start();
    }
}
```

Implementing Runnable

```java
public class PrimeRun implements Runnable {
    long minPrime;

    public PrimeRun(long minPrime) {
        this.minPrime = minPrime;
    }

    public void run() {
        // Compute prime larger than minPrime
    }

    public static void main(String[] args) {
        PrimeRun pr = new PrimeRun(7);
        new Thread(pr).start();
    }
}
```

Mutual exclusion

- The readers/writers problem is a typical mutual exclusion problem:
  - no reader should be allowed to read while a writer is writing
  - no writer should be allowed to write while either another writer is writing or a reader is reading
Readers/Writers

```java
public class Data {
    private String name;
    private String phone;
    public void setName(String name) { this.name= name; }
    public String getName() { return name; }
    public void setPhone(String phone) { this.phone= phone; }
    public String getPhone() { return phone; }
}
```

```java
public class Reader extends Thread {
    public Data data;
    public Reader(Data data) {
        this.data= data;
    }
    public void run() {
        while (true) {
            System.out.print(data.getName());
            System.out.println(data.getPhone());
        }
    }
}
```

```java
public class Writer extends Thread {
    private Data data;
    private String name;
    private String phone;
    public Writer(Data data, String name, String phone) {
        this.data= data;
        this.name= name;
        this.phone= phone;
    }
    public void run() {
        while (true) {
            data.setName(name);
            data.setPhone(phone);
        }
    }
}
```

```java
data= new Data();
w1= new Writer(data, "James", "007");
w2= new Writer(data, "Devil", "666");
r1= new Reader(data);
r1.start(); w1.start(); w2.start();
```

The concept of monitor

- A monitor is associated with an object to...
  - ensure mutual exclusion of its methods
  - explicitly suspend or wake up threads using that object
- In Java, each object has an associated monitor
- You have two ways to express mutual exclusion in Java:

At the method level

```java
synchronized
public void setData(String name, String phone) {
    this.name= name;
    this.phone= phone;
}
```

At the object level

```java
synchronized (data) {
    name= data.getName();
    phone= data.getPhone();
}
```
Readers/Writers revisited

```java
public class Data {
    private String name;
    private String phone;
    public String getName() { return name; }
    public String getPhone() { return phone; }
    synchronized public void setData(String name, String phone) {
        this.name = name;
        this.phone = phone;
    }
}

public class Reader extends Thread {
    public Data data;
    public Reader(Data data) {
        this.data = data;
    }
    public void run() {
        while (true) {
            synchronized (data) {
                System.out.print(data.getName());
                System.out.print(data.getPhone());
            }
        }
    }
}

public class Writer extends Thread {
    private Data data;
    private String name;
    private String phone;
    public Writer(Data data, String name, String phone) {
        this.data = data;
        this.name = name;
        this.phone = phone;
    }
    public void run() {
        while (true) {
            data.setData(name, phone);
        }
    }
}
```

data = new Data();
w1 = new Writer(data, "James", "007");
w2 = new Writer(data, "Devil", "666");
r1 = new Reader(data);
r1.start(); w1.start(); w2.start();

Waiting & notifying

A monitor is associated to an object to explicitly suspend or wake up threads using that object.

```java
public class Object {
    ...
    public final void wait() {...}
    public final void notify() {...}
    public final void notifyAll() {...}
    ...
}
```
Using `wait()` and `notify()`

```java
public static void main(String[] args) {
    final Object wham = new Object();
    Runnable singer = new Runnable() {
        public void run() {
            try {
                for (int i = 1; i <= 100; i++)
                    synchronized (wham) { wham.wait(); }
            }
        }
    };
    Thread george = new Thread(singer, "George");
    Thread andrew = new Thread(singer, "Andrew");
    george.start(); andrew.start();
    int i = 0;
    while (george.isAlive() || andrew.isAlive()) {
        synchronized (wham) { wham.notify(); }
        i++;
    }
    System.out.println("I had to send " + i + " notifications.");
}
```

**Question:** how many times was `notify()` called?
Synchronization

- The producers/consumers problem is a typical synchronization problem:
  - Let $S$ be a bounded buffer
  - A producer should only be allowed to produce as long as $S$ is not full
  - A consumer should only be allowed to consume as long as $S$ is not empty

```java
public class Stack {
    private int[] data;
    private int i;
    public Stack(int size) {
        data = new int[size];
        i = 0;
    }
    synchronized public void push(int d) {
        if (i == data.length) wait();
        data[i] = d;
        i++;
        notify();
    }
    synchronized public int pop() {
        if (i == 0) wait();
        i--;
        int d = data[i];
        notify();
        return d;
    }
}
```

```java
public class Producer extends Thread {
    private Stack shared;
    public Producer(Stack shared) {
        this.shared = shared;
    }
    public void run() {
        while (true) {
            int d = ...;
            shared.push(d);
        }
    }
}
```

```java
public class Consumer extends Thread {
    private Stack shared;
    public Consumer(Stack shared) {
        this.shared = shared;
    }
    public void run() {
        while (true) {
            int d = shared.pop();
            ...
        }
    }
}
```
Producers/Consumers

```java
synchronized public void push(int d) {
    while (i == data.length) wait();
    data[i] = d;
    i++;
    notifyAll();
}
```

```java
synchronized public int pop() {
    while (i == 0) wait();
    i--;
    int d = data[i];
    notifyAll();
    return d;
}
```

if → while transformation ensures safety

notify → notifyAll transformation ensures liveness

General pattern

ensures safety
ensures liveness

A problematic scenario with while and notify (not notifyAll)

[S.size() == 1]

<table>
<thead>
<tr>
<th></th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
</tr>
</thead>
<tbody>
<tr>
<td>Black</td>
<td>empty</td>
<td>full</td>
<td>empty</td>
<td>full</td>
<td>full</td>
</tr>
<tr>
<td>do: wait</td>
<td>do: empty &amp; notify P1</td>
<td>do: wait</td>
<td>do: empty &amp; notify P1</td>
<td>do: wait</td>
<td>do: empty &amp; notify P1</td>
</tr>
<tr>
<td>do: fill &amp; notify C1</td>
<td>do: fill &amp; notify C1</td>
<td>do: fill &amp; notify C1</td>
<td>do: fill &amp; notify C1</td>
<td>do: fill &amp; notify C1</td>
<td>do: fill &amp; notify C1</td>
</tr>
<tr>
<td>do: wait</td>
<td>do: fill &amp; notify C1</td>
<td>do: fill &amp; notify C1</td>
<td>do: fill &amp; notify C1</td>
<td>do: fill &amp; notify C1</td>
<td>do: fill &amp; notify C1</td>
</tr>
</tbody>
</table>
Limitations of monitors (1)

The monitor abstraction is somewhat too high-level. In particular, it is impossible to:

- acquire mutual exclusion if it is already granted, or give it up after a timeout or an interrupt
- acquire mutual exclusion in one method and release it in another method
- alter the semantics of mutual exclusion, e.g., with respect to reentrancy, reads vs. writes, or fairness

Limitations of monitors (2)

The monitor abstraction is somewhat too low-level. In particular, it provides no direct support for:

- Atomic variables (thread-safe single variables)
- Reader/writer and producer/consumer
- Highly concurrent collection classes
Concurrent Programming © Benoît Garbinato

Concurrency utilities (JSE5)

- **Design goals**
  - Reduced programming effort
  - Increased performance & reliability
  - Improved maintainability & productivity

- **Features**
  - Task scheduling framework
  - Concurrent collections & atomic variables
  - Synchronizers & locks
  - Nanosecond-granularity

- **Packages**
  - `java.util.concurrent`
  - `java.util.concurrent.atomic`
  - `java.util.concurrent.locks`

---

**Locks & condition variables**

```java
public class BoundedBuffer {
    final Lock lock = new ReentrantLock();
    final Condition notFull = lock.newCondition();
    final Condition notEmpty = lock.newCondition();
    final Object[] items = new Object[100];
    int putptr, takeptr, count;

    public void put(Object x) throws InterruptedException {
        lock.lock();
        try {
            while (count == items.length) 
                notFull.await();
            items[putptr] = x;
            if (++putptr == items.length) 
                putptr = 0;
            ++count;
            notEmpty.signal();
        } finally {
            lock.unlock();
        }
    }

    public Object take() throws InterruptedException {
        lock.lock();
        try {
            while (count == 0)
                notEmpty.await();
            Object x = items[takeptr];
            if (++takeptr == items.length) takeptr = 0;
            --count;
            notFull.signal();
            return x;
        } finally {
            lock.unlock();
        }
    }
}
```

- The `await()` call is equivalent to the `wait()` call
- The `signal()` call is equivalent to the `notify()` call

Concurrent Programming © Benoît Garbinato
Atomic variables

Atomic variables lock-free & thread-safe programming on single variables

```java
public class Sequencer {
    private long unsafeSequenceNumber = 0;
    private AtomicLong safeSequenceNumber = new AtomicLong(0);
    public long unsafeNext() { return unsafeSequenceNumber++; }
    synchronized public long blockingNext() { return unsafeSequenceNumber++; }
    public long safeLockFreeNext() { return safeSequenceNumber.getAndIncrement(); }
}
```

Reader/Writer support

Interface `ReadWriteLock` & class `ReentrantReadWriteLock` support reader/writer solutions with the following properties:
- multiple threads can read simultaneously
- fairness policy can be enforced (arrival-order)

```java
public class ReaderWriterDictionary {
    private final Map<String, String> m = new TreeMap<String, String>();
    private final ReentrantReadWriteLock rwl = new ReentrantReadWriteLock(true);
    private final Lock r = rwl.readLock();
    private final Lock w = rwl.writeLock();
    public String get(String key) {
        r.lock(); try { return m.get(key); } finally { r.unlock(); }
    }
    public String put(String key, String value) {
        w.lock(); try { return m.put(key, value); } finally { w.unlock(); }
    }
}
```
**Producer/Consumer support**

*Interface BlockingQueue & various implementation classes support producers/consumers solutions in a direct manner*

```java
class Producer implements Runnable {
    private final BlockingQueue queue;
    Producer(BlockingQueue q) { queue = q; }
    public void run() {
        try {
            while (true) { queue.put(produce()); }
        } catch (InterruptedException ex) { ... }
    }
    Object produce() { ... }
}

class Consumer implements Runnable {
    private final BlockingQueue queue;
    Consumer(BlockingQueue q) { queue = q; }
    public void run() {
        try {
            while (true) { consume(queue.take()); }
        } catch (InterruptedException ex) { ... }
    }
    void consume(Object x) { ... }
}

void main() {
    BlockingQueue q = new ArrayBlockingQueue(1000, true);
    Producer p = new Producer(q);
    Consumer c1 = new Consumer(q);
    Consumer c2 = new Consumer(q);
    new Thread(p).start();
    new Thread(c1).start();
    new Thread(c2).start();
}
```

**Concurrent collections**

- The `Hashtable` is already thread-safe, so why define a new class `ConcurrentHashMap`?
- With a `Hashtable`, every method is synchronized, so no two threads can access it concurrently
- With a `ConcurrentHashMap`, multiple operations can overlap each other without waiting, i.e.,
  - unbounded number of reads can overlap each other
  - up to 16 writes can overlap each other
  - reads can overlap writes
Questions?